

## Asperger syndrome

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## Asperger syndrome

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■ **Abstract** Asperger syndrome (AS) is a chronic neurodevelopmental disorder of social interaction, communication, and a restricted range of behaviors or interests. Although not generally associated with intellectual disability, the severe social disability and, in many cases, associated mental health and other medical problems, result in disability throughout life. The diagnosis is often delayed, sometimes into adulthood, which is unfortunate because there are now a range of interventions available, and the current evidence supports intervention starting as early in childhood as possible. The aim of this

review is to present a description of AS, an up to date synopsis of the literature pertaining to its etiology, co-morbidity and intervention options, and a discussion of current nosological controversies.

■ **Key words** Asperger syndrome – autism spectrum disorders

### Conceptual issues

In 1944 Hans Asperger, an Austrian pediatrician, writing in German, described four boys aged between 6 and 11 years who had come to his attention because of difficulties relating to their peers ([3] translated in [28]). Unknown to him, a child psychiatrist working at Johns Hopkins in Baltimore, Leo Kanner, described 11 children with similar impairments of social interaction, communication and behavior the previous year, and coined the term ‘infantile autism’ [44].

Wing [103] essentially introduced Asperger’s syndrome to the English speaking scientific community when she described 34 cases, aged between 5 and 35 years, who had a clinical presentation similar to

Asperger’s account. Wing, however, proposed some modifications to the syndrome based on her cases. For example, although Asperger thought the condition unrecognizable prior to 3 years of age, Wing suggested that difficulties were present in the first 2 years of life, including the absence of imaginative play and speech abnormalities. Wing also noted the similarities between autism and Asperger syndrome (AS). However, although most researchers have drawn close parallels between AS and autism, arguing for the existence of a continuum, the autism spectrum disorders (ASDs) [104], the relationship between AS and autism remains unresolved, as will be discussed subsequently [45, 46, 61, 109].

Before its introduction in the ICD-10 [110] and DSM-IV [1], AS tended to be conceptualized as either

(1) a mild form of autism, (2) a manifestation of autism in people of normal intellectual ability, (3) a higher verbally functioning form of autism, or (4) a 'socially motivated' form of autism. To complicate things, several different diagnostic criteria had been proposed. Its inclusion in ICD-10 and DSM-IV was intended to provide a set of criteria to overcome this problem. In the field trial conducted as part of DSM-IV and ICD-10 cases with clinical diagnoses of Asperger disorder were noted to differ BOTH from those with autism (e.g., in terms of verbal-performance IQ profile and increased rates of unusual circumscribed interests in AS cases) and those with pervasive developmental disorders not otherwise specified (PDD-NOS), with significantly greater severity of social difficulties in cases of AS [99]. Although offering the possibility of a single set of criteria that allow data across studies to be compared, the result has, unfortunately, been simply to change rather than solve the conceptual problems.

When AS was introduced in the ICD-10 and DSM-IV it was placed alongside autism as a pervasive developmental disorder, sharing the same criteria with autism, but differing in terms of its apparently normal cognitive functioning and language development, the 'onset' rule, and on the basis of a number of communicative impairments that are present in autism but absent from AS. In addition, autism automatically takes priority in the diagnostic hierarchy (the 'precedence' rule). However, it has been argued that because of these onset and precedence 'rules', diagnosing AS becomes a near impossibility [52, 58], and the diagnosis is 'tilted' towards autism on the basis of vague developmental phenomena rather than on real empirical data concerning developmental pathways to social disability [109]. In addition, in view of the fact that they 'share' diagnostic criteria, the study of the differentiation of AS vis-à-vis autism has become problematic and tautological, and, therefore, it is no surprise that most research supports a relationship between them, and examination of external validity thus becomes meaningless.

It is important for the classification debate to move beyond the current arguments concerning the relationship between autism and AS, and instead examine the external validity of more sophisticated operationalised criteria. Several different models are possible, based on the absence or presence of early language impairments, or based on 'active but odd' versus 'socially aloof' groupings for example. At the current time, the strongest evidence for external validity is when a definition closer to Asperger's description is used [46], as emphasized in the text revision of the DSM-IV, but, sadly, not incorporated into its diagnostic criteria.

Another related nosological uncertainty is the internal validity of AS. As discussed subsequently,

both ICD-10 and DSM-IV have de-emphasized the communication impairment in favor of the social and behavioral features. This is unfortunate, as Asperger, Wing and others have argued that they are common and an essential feature. What is also unclear, however, is whether people with AS differ from one another because of differences in severity of symptoms, or whether there are 'subgroups' according to different phenotypic features, such as communication features, or pattern of ritualistic behaviors [29, 73, 82, 86]. The results have failed to reach any consensus. Whilst a number of studies have shown that people with AS differ according to a severity gradient [73, 86], others have shown evidence for subtypes [29, 82], which is also reflected in everyday clinical practice, where wide variation in symptomatology is seen. It is important to clarify this from both a nosological and genetic point of view.

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### Clinical characteristics

Most experts agree that impairment of reciprocal social interaction is the sine qua non of both AS and autism [95]. People with AS are typically motivated to interact with others, but find themselves socially isolated because of their odd communication style [45, 95, 103], which is often overly formal and may take the form of an in-depth monologue about a topic of special interest regardless of whether their interlocutor is interested or not. As Asperger originally emphasized, these are children who 'talk before they walk' and 'words are their lifeline'—a stark contrast to autism where speech is typically delayed and usually not a source of great strength. In AS speech is often verbose and tangential. There may also be peculiarities to the speech itself [45, 95, 103]. For example, it may lack the normal prosody and may also be odd in terms of volume, rate or rhythm. Sometimes pauses reflect the difficulties people with AS have in formulating answers to questions and structuring their discourse. As previously indicated, these pragmatic language impairments were unfortunately de-emphasized in the DSM-IV and ICD-10.

People with AS often engage in restricted and repetitive patterns of behavior [45, 95, 103]. Whilst these are categorized in both ICD-10 and DSM-IV as being of four different types, they can be usefully conceptualized as 'lower level' (including stereotyped motor movements) and 'higher level' (including rituals and circumscribed interests). Among people with AS, these behaviors are more likely to manifest as resistance to change in a personal routine or in their immediate environment, or with the pursuit of a circumscribed interest. Such interests are generally focussed on amassing large amounts of information

on a particular topic, and typically, will take up a large amount of the individual's time, and take precedence over other activities. This intensity easily differentiates such interests from those of their peers. Importantly, such interests take precedence over social activities with peers, and 'interaction' with others may take the form of one-sided talk about their interest. What is also notable is that there is an increased engagement in such interests with age, and they can be debilitating in terms of frequency of engagement as well as degree of distress that they cause the person and their family [85].

A recent retrospective analysis of cases seen by Asperger indicated that of the 44 with detailed information, 82% clearly had special circumscribed interests [41]. The nature of the interest was mentioned for 33 cases, allowing categorization. A whole range of interests were represented, including animals and nature ( $N = 10$ ), scientific interests ( $N = 9$ ), and collecting facts ( $N = 8$ ), and more rarely hobbies that could not be classified such as a fascination with puns, Mickey Mouse and national socialism.

## Diagnostic methods

Currently, the gold standard diagnostic approach is to use clinical judgment combined with a combination of the autism diagnostic interview revised (ADI-R) [55] and autism diagnostic observation scale (ADOS) [54]. The ADI-R is a semi-structured interview with a primary caregiver, while the ADOS is a semi-structured play/interactive session. Both require specific training in administration. Both have algorithms for ICD-10 and DSM-IV diagnoses of autism, and also allow diagnosis of AS to be made based upon the precedence and onset rules discussed above. An alternative widely used semi-structured diagnostic instrument is the diagnostic interview for social and communication disorders (DISCO) [105]. A number of other instruments are available, specifically designed to be used where a diagnosis of AS is queried. These include screening instruments (ASQ [12], AQ [8], ASSQ [22]) and diagnostic instruments (ASDS [64], GADS [36], ASDI [35]). Most of these have data on validity and reliability and are commercially available. As with any standardized diagnostic tool, they do not replace good clinical judgment.

## Co-morbidities

Most of the research on co-morbidities in PDDs has focussed on autism [31, 50, 111]. However, there is also consistent evidence that AS is associated with an

increased prevalence of certain mental health problems [47, 103], in particular depression [34] and anxiety [90, 92], as well as certain medical problems, notably seizures and sleep problems [23], and other developmental disorders, namely Tourette's syndrome [7] and ADHD [34]. Unfortunately, many of these studies are based on psychiatric clinic samples, and diagnoses have been given according to clinical judgment rather than standardized measures, and further research in this area is needed. This notwithstanding, in the meantime it seems reasonable to conclude that co-morbidity is relatively common.

## Differential diagnosis: related concepts

A number of other diagnostic labels have been used, and continue to be used, to describe people of normal intellectual ability who have difficulties with social interaction and communication. These include non-verbal learning disability from neuropsychology [75], schizoid personality from child psychotherapy [106, 107] and right hemisphere learning disability from child neurology [20, 101]. However, AS is the only term used in the current volumes of the DSM-IV and ICD-10.

What is unclear is whether these are fundamentally different syndromes or labels evolved from different areas of clinical expertise describing what is fundamentally the same syndrome. The term schizoid personality of childhood was coined by Wolff to describe a group of children whose clinical phenotype, whilst similar to Asperger's, was characterized by (1) prominent conduct disorder, (2) better adult adjustment, and (3) a slight increased risk of schizophrenia. In contrast nonverbal learning disabilities (NLD) ([75] and for recent review see [76]) is used to describe individuals with social and communicative difficulties who have a significant verbal/performance discrepancy favoring the former. Finally, the term developmental learning disabilities of the right hemisphere (DLDRH) [101] has been used to describe a cluster of symptoms that were associated with damage to the right side of the brain. Whilst there is little doubt that DLDRH is synonymous with NLD, and AS is synonymous with Wolff's cases, the relationship beyond this needs further clarification.

The relationship between AS and obsessive-compulsive symptoms (including obsessive-compulsive disorder (OCD) and, among adults, obsessive-compulsive personality disorder) is also not entirely clear, and the differential diagnosis can sometimes be difficult. In most instances of AS, however, the repetitive patterns of behavior, in particular the pursuit of circumscribed interests, are often associated with pleasure and mastery rather than egodystonicity. Even so,

the similarities between these disorders may suggest a more fundamental relationship [11], which requires further exploration.

## Epidemiology

Ehlers and Gillberg [21], using their own diagnostic criteria, found a point prevalence of 28.5/10,000 (95% CI 0.6–56.5/10,000) for AS. Examining six other studies that ascertained the prevalence of AS alongside autism [26, 27] leads to a median prevalence estimate of 2.6/10,000. Of particular note is that these surveys consistently found the rates of AS to be lower than autism, with autism on average five times as common as AS (median prevalence for autism 13/10,000).

## Etiology

### ■ Genetic studies

There is now compelling evidence from family and twin studies for a genetic basis for autism ([4, 13, 25, 89]). The early work is summarized by Pericak-Vance [67]). Unfortunately, there is a paucity of studies specifically examining families of probands with AS. Asperger identified similar traits in family members of his patients, particularly among fathers, suggesting genetic factors were important. In addition, two studies have investigated genetic risk in relatives of AS probands. Volkmar and colleagues [96] presented data on 99 families of probands with AS, which demonstrated a strong family history of AS among first-degree relatives, and evidence of a genetic relationship between autism and AS. Ghaziuddin [30] came to similar conclusions.

For people with autism, the strongest support for linkage is on 2q [15, 43, 83] and 7q [16, 43, 84], particularly for people with phrase speech delay [14, 15, 83], but with evidence of linkage also starting to coalesce in other chromosomal areas (see Veenstra-VanderWeele et al. [94] for the most recent summary of linkage findings). Numerous genes have been investigated for possible candidacy, but there have been no consistently replicated findings.

Although these same genetic risk factors may be relevant for AS, there is a paucity of linkage and association studies specifically examining probands with AS. Indeed, at the time of writing only one study has investigated genetic linkage in AS [112], and two cytogenetic studies mapped breakpoints [2, 93]. Ylisaukko-oja [112] examined 17 multiplex families with 119 affected probands, 72 of whom fulfilled the ICD-10 criteria for AS, and the remainder of whom

had Asperger-like features but did not fulfill all diagnostic criteria. Linkage was observed at 1q21-22, 3p14-24 and 13q31-33. Interestingly, the loci on chromosomes 1 and 3 overlap with previously identified autism susceptibility loci, and on 1 and 13, with schizophrenia susceptibility loci. In contrast the two cytogenetic studies describe three different individuals with breakpoints involving the short arm of chromosome 17, at 17p13. Whilst strongly suggesting genes disrupted by this breakpoint may be responsible for the phenotype, candidate genes were not identified. Moreover, this chromosomal locus has not been previously identified by linkage strategies.

The male:female ratio of at least 9:1 for AS suggests that male specific genes might be involved in the phenotypic expression of this disorder. Stone and colleagues [88] examined patterns of linkage in 148 multiplex families obtained from the autism genetic resource exchange (AGRE), and found enhanced linkage for the male only group at 17q, suggesting this susceptibility region may be important for the AS group. Moreover, samples stratified according to the presence of repetitive patterns of behavior also result in increased linkage to this region, particularly relevant in view of the prominence of such symptoms in the clinical phenotype of AS, whereby the presence of circumscribed interests is necessary for the diagnosis.

### ■ Neuropsychological studies

The neuropsychological literature on autism is vast, but broadly speaking falls into one of three areas: that pertaining to (1) theory of mind [5], (2) executive function [40] or (3) central coherence [37]. Briefly, individuals with AS relative to their age and IQ matched general population peers have been shown to have (1) difficulties 'passing' theory of mind tasks, (2) executive dysfunction and (3) a tendency to interpret visual stimuli in parts rather than wholes, so-called poor central coherence. These neuropsychological findings are similar to those observed among people with autism. Importantly, however, some studies have found that people with AS are relatively intact on theory of mind [113] and EF [72], providing some support, along with the findings on intellectual tests described below, for the external validity of AS vis-à-vis autistic disorder.

In addition, other neuropsychological literature that has examined patterns of performance on tests of intellectual and more general abilities concluded that autism is characterized by a PIQ > VIQ profile, whilst AS, a VIQ > PIQ profile [53]. This latter pattern of strengths on verbal skills relative to visuo-spatial skills and non-verbal problem solving characterizes nonverbal learning disability, a term



coined to describe individuals with socio-communicative impairments who have this particular pattern of cognitive strength and weakness. There is indeed strong support from neuropsychological studies for an association between AS and NLD [76]. Emphasis is placed on the right hemisphere as underlying the clinical and neuropsychological presentation of these two disorders, although, as will become apparent in the following section, a number of different neuro-anatomical sites are implicated in autism and AS.

### ■ Neuroimaging studies

Several neuroanatomical regions of the brain have been implicated, including frontal lobe and temporal lobe regions, and the amygdala, but most studies have been probands with autism. Whilst a number of postmortem studies of people with autism implicated cerebellar abnormalities [9, 10, 18, 19], more recent research using MRI has failed to find any such abnormalities among people with autism, AS, and PDDNOS [80].

The studies which included people with AS demonstrated, along with their autism counterparts, abnormal patterns of 'activation' in the inferior temporal sulcus [78, 79], and areas of frontal dysactivation during the performance of neuropsychological tests [24, 38, 62, 74]. Other studies of AS probands have also demonstrated abnormality of functional integration of the amygdala and parahippocampal gyrus [102], and structural abnormalities of the inferior temporal gyrus, entorhinal cortex and rostral fusiform gyrus [49].

A father and son both with AS and near identical areas of cortical dysmorphology have also been described [97]. In both, MRI scanning demonstrated a region of missing tissue in each hemisphere at the point at which the middle frontal gyrus intersects with the precentral sulcus. In another case report an 11-year-old boy is described with a lesion in the right middle temporal gyrus white matter [98].

Megalencephaly remains a consistent finding among people with autism [51, 100], although no study has investigated brain size specifically among people with AS. However, interestingly, males are known to have larger brains than females, and there has been a suggestion that ASDs, and AS in particular, may be explained by an 'extreme male brain' phenotype [6].

## Management

### ■ Behavioral and educational interventions

These are used to (1) develop social skills, (2) encourage adaptive problem solving strategies and

reduce maladaptive patterns of behavior, and (3) teach more effective communication. Although not based on strong empirical evidence, their by and large ecologically valid approach and widespread use, with a handful of anecdotal single case reports, lends support to their effectiveness. In general the approach is based on using the child's strengths (typically verbal cognitive ability and nonsocial language skills) to address areas of weakness (nonverbal and social problem solving and pragmatic language). Although a detailed account of behavioral approaches is beyond the scope of the current review, certain themes dominate. These are summarized as follows:

- Educational programs should be tailored according to each individual's pattern of cognitive strengths and vulnerabilities, as evidenced by their profile on neuropsychological tests:
- Rote verbal learning of 'social rules' may be more effective than learning by role-playing or pictorially administered stimuli.
- A 'parts to whole' approach should be used, where the verbal information is presented as a series of steps, rather than a narrative gestalt.
- Most people with AS will cope better in small classes with the option for 1:1 supervision and support and small group activities.
- As far as possible, children with AS should be integrated with their normotypical peers from whom they can generalize the social and communicative skills they learn during the more structured sessions.
- The curriculum may need to be adapted for the child with AS, in terms of, for example, allowing more time to complete tasks, making certain instructions have been understood, etc.
- Adaptive skills should be taught explicitly by, for example, scheduling, practicing and rehearsing. Areas of vulnerability can be identified from scores on the Vineland adaptive behavior scale (VABS).
- Organizational skills, often an area of vulnerability as a result of poor executive function, can be targeted by, for example, the use of scheduling, scripts, rules or lists ('things to do').
- Social skills and pragmatic language training, usually delivered in the form of a group, refers to a variety of widely used approaches that include focussed instruction on actual target behaviors (such as, for example, eye contact), training in social perception, as provided by computer packages such as *Let's Face It*, or *Mind Reading: An Interactive Guide to Human Emotions*, and allowing the opportunity to practice the skills they have learned in varied, naturalistic contexts for generalization and maintenance (for a more detailed account see [48, 66]).

## ■ Psychopharmacological interventions

There are a number of symptoms that commonly occur among people with AS that may be responsive to particular pharmacologic interventions. In the absence of a strong base of evidence it seems reasonable to assume that people with AS will show a similar response as their general population counterparts. Nonetheless, people with AS may be more sensitive to the effects of psychotropics, and it is sensible to start with low doses, monitor change, and titrate slowly to the most effective dose.

**Inattention/hyperactivity** Hyperactivity and inattention are common in AS [34]. Psychostimulants, such as methylphenidate, and selective noradrenergic reuptake inhibitors, such as atomoxetine, are used to treat ADHD. In children and adolescents with autism, Asperger's syndrome or PDDNOS there is evidence for the efficacy of methylphenidate [70], although among people with PDDs there is a risk of higher rates of stereotypes, tics, social withdrawal and stimulant-induced psychosis in conjunction with their use [77]. There is also some evidence to support the usefulness of atomoxetine for treating ADHD symptoms in children with autistic disorder [39].

**Rigidity** In the general population, certain selective serotonin reuptake inhibitors (SSRIs) have the strongest evidence of efficacy in the treatment of symptoms of repetitive thoughts and ritualistic patterns of behavior diagnosed as OCD. Although there are no clinical trials establishing the efficacy of these in treating such symptoms in people with AS, a few studies, specifically of children and adults with autism, have demonstrated efficacy for the SSRI Fluvoxamine [60] and the SSRI Fluoxetine [17], although Fluvoxamine resulted in serious side effects among children with autism [60], including hyperactivity and irritability, which was avoided in a subsequent study by employing a lower starting dose and less steep titration [56].

**Irritability and aggression** A number of treatment options have proven effective in the treatment of these symptoms in people with autism. These include the SSRIs Fluvoxamine [60] (but note caution discussed in previous section) and the SSRI Sertraline [59, 87], the novel serotonin and noradrenaline reuptake inhibitor (SNRI) Mirtazapine [68], and the atypical antipsychotic risperidone [69]. Of note, the studies of McDougle [59] and Posey [68] both included subsets with AS.

**Depression** A number of studies have demonstrated the high rates of depression among people with AS [34, 47, 91, 103]. In the general population, several groups of drugs are used to treat depression, most notably the SSRIs. Although there are no clinical trials

establishing the efficacy of antidepressants in people with AS, there is no reason to believe that these compounds would be less efficacious in AS than the general population. A few studies specifically of children and adults with autism have demonstrated efficacy for Fluoxetine [33], Citalopram [65], and Mirtazapine [68] (an SNRI). Of note, the study of Posey et al. also included subjects with AS and PDDNOS.

**Anxiety** Anxiety is also common among people with AS. In the general population, several groups of drugs are used to treat anxiety, most notably the SSRIs, Buspirone and  $\beta$ -blockers. Although there are no clinical trials establishing the efficacy of these in people with AS, there is no reason to believe that these compounds would be less efficacious in this group than the general population. A few studies specifically of children and adults with autism have demonstrated efficacy for Citalopram [65], Fluvoxamine [71], Sertraline [87] and Mirtazapine [68].

## Studies of outcome

There is now some evidence that as many as 20% 'grow out' of their disorder, failing to meet the diagnostic criteria in adulthood [81], while many others 'improve' [81]. What is not clear is what factors predict those these differing outcomes. In contrast, other studies of outcome have investigated social adjustment, in terms of employment, opportunities and friendship. By far the most informative studies are longitudinal in design that measure outcome and its predictors and correlates, and there are now several studies that focus exclusively on people with autism with IQs in the normal range [42, 57], which indicate that although the majority improve over time, difficulties, in terms of communication skills, social adjustment and independent living, continue into adulthood.

A small body of literature also purports an association between AS and 'criminal offending' [63]. This 'evidence' is limited, however, as the majority of these are single case studies or case series, and do not, therefore, inform on the vast majority of people with AS who live in the community, who are probably no more likely to come into contact with the criminal justice system as perpetrators than their general population counterparts [32, 108].

## Future directions

Although the relationship between autism and AS remains an important area of research, it will only be useful if current nosological conceptualizations are

modified. Moving away from the assumption that Asperger's is simply a 'subtype' of autism may provide a better basis for external validity. The text revision of the DSM-IV has begun to recognize some of the more characteristic symptoms of AS, and recent research has started to investigate external validity

based upon modified criteria [46]. Nosological research such as this is urgently needed, and will form the basis for emerging conceptualizations of AS, its underlying etiology, and its relationship to other disorders of social interaction, including autism, schizoid PD and NLD.

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